### **Compression in Self-Organizing Particle Systems**

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BARRETT UNDERGRADUATE HONORS THESIS

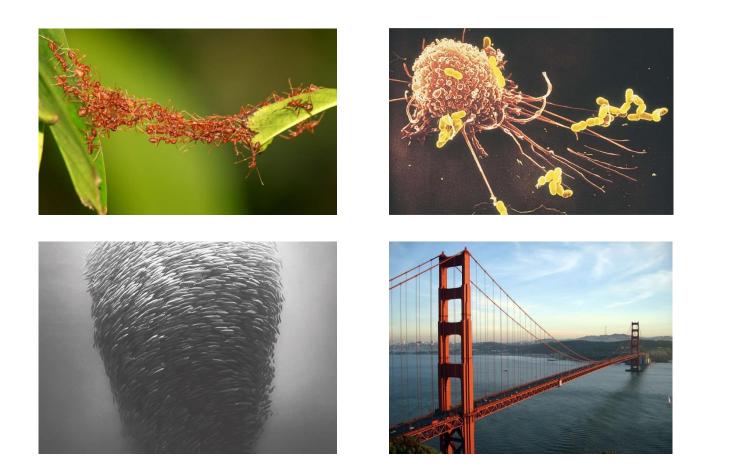
APRIL  $6^{TH}$ , 2016

### Motivation



**Compression in Self-Organizing Particle Systems** 

### Inspirations & Applications

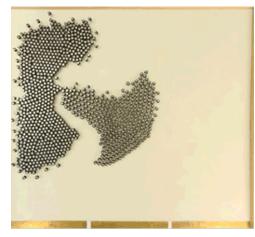


#### **Compression in Self-Organizing Particle Systems**

### Current Programmable Matter





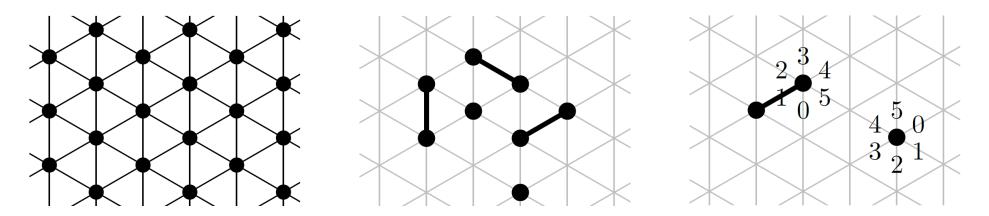


#### **Compression in Self-Organizing Particle Systems**

# The Amoebot Model

Particles are:

- Anonymous (no unique identifiers)
- Without global orientation or compass (no shared sense of "north")
- Limited in memory (constant size)
- Activated asynchronously

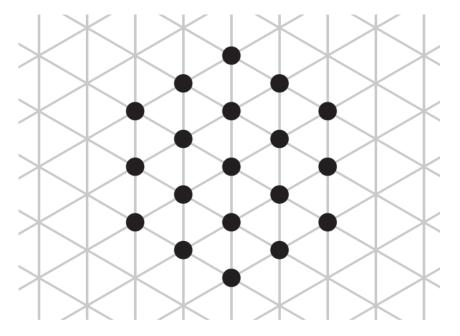


# The Compression Problem

**Problem:** Given a particle system that is initially connected, "gather" the particles as tightly as possible.

Many possible formal interpretations of this:

- Minimize the diameter?
- Minimize the perimeter?
- Maximize the total number of edges formed?
- Maximize the number of induced triangles?
- Eliminate all holes?



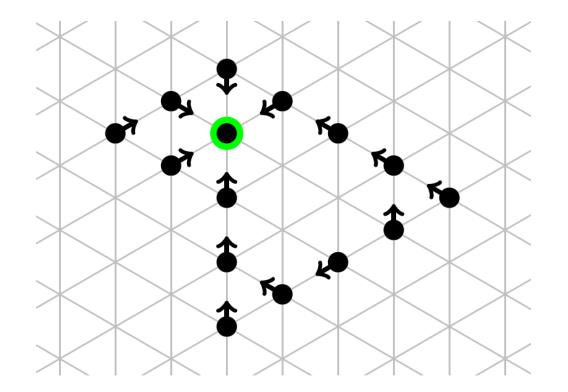
### Overview

Two early approaches:

- 1. Local Compression: particles satisfy local rules to achieve global structure
- 2. Hole Elimination: particles detect and eliminate holes contained in their structure

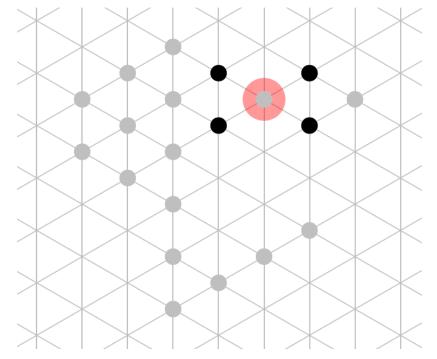
# Spanning Forest Primitive

Gives particles a sense of orientation that otherwise does not exist.



### Goal

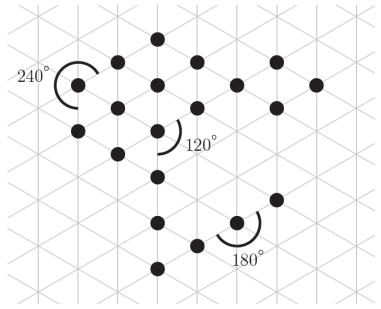
**Definition:** A particle system is said to be *locally compressed* if every particle *p* is *particle compressed*; that is, (1) *p* does not have exactly five neighbors, and (2) the graph induced by *N(p)* is connected.



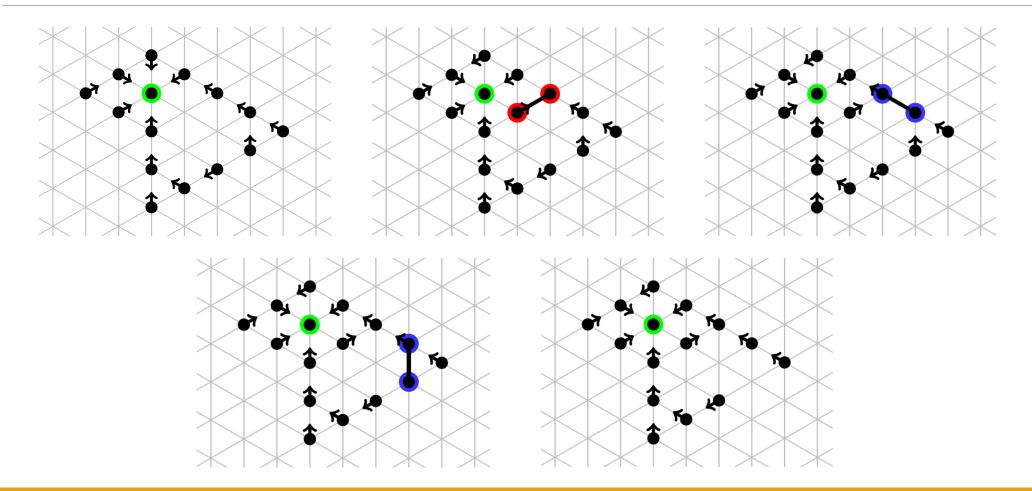
Goal (cont.)

**Definition:** A particle system is said to be *convex* if every external angle  $\alpha$  on the outer border of the system has  $\alpha \ge 180^{\circ}$ .

**Lemma:** If a particle system is locally compressed, then it forms a convex configuration containing no holes.



### Algorithm Description



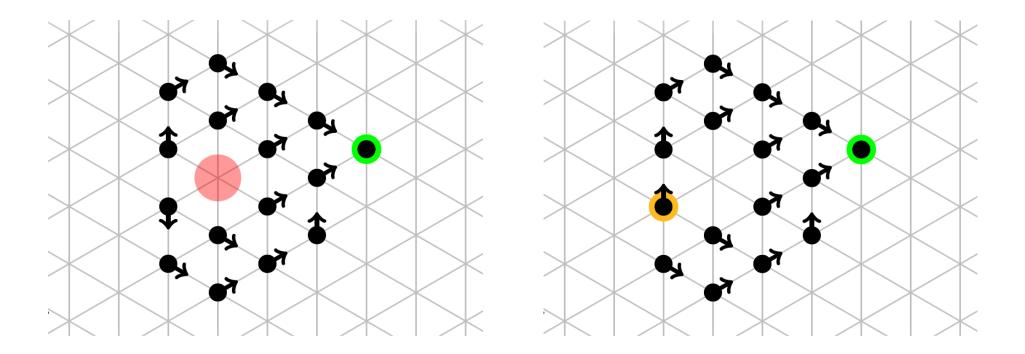
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**Local Compression** Hole Elimination

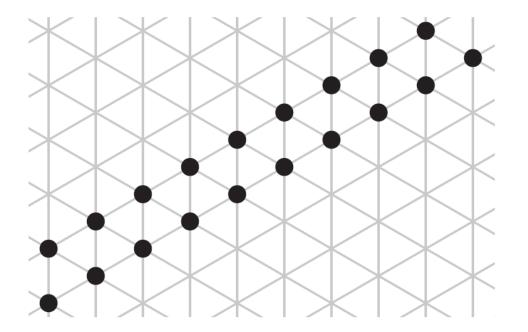
# Algorithm Description (cont.)

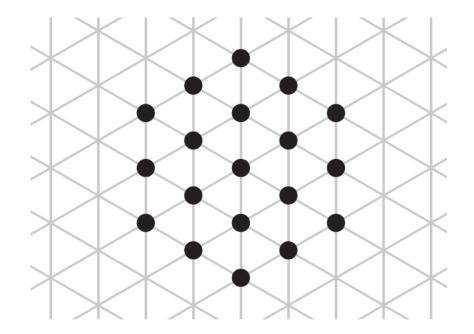
Leaf switching is required when a hole is bounded entirely by leaves.



### Results

When compression is interpreted as convex and containing no holes, it is too permissive.

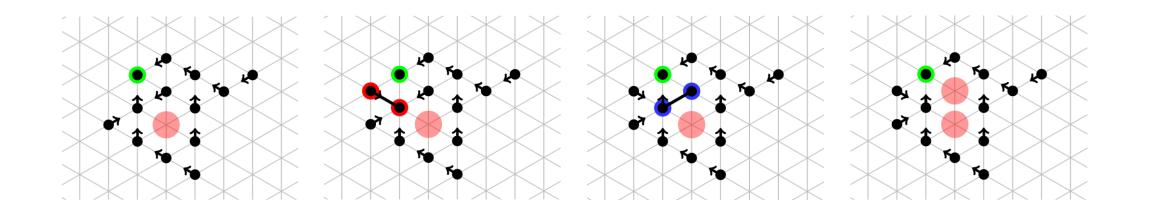






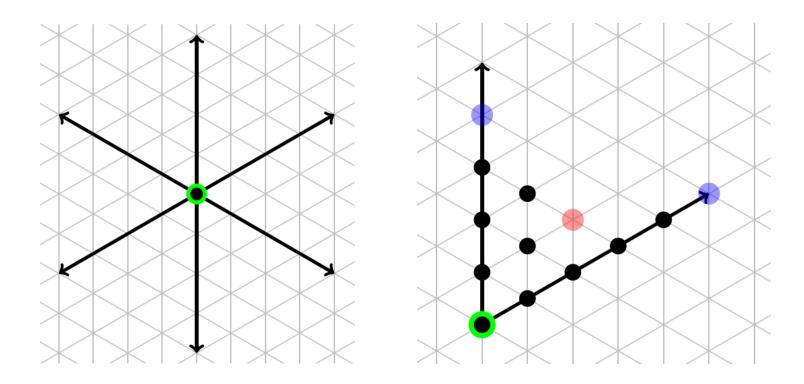
Results (cont.)

The Local Compression algorithm has the tendency to oscillate ad infinitum.



### Goal

**Definition**: A particle system is said to have achieved *hole elimination* if it contains no holes.

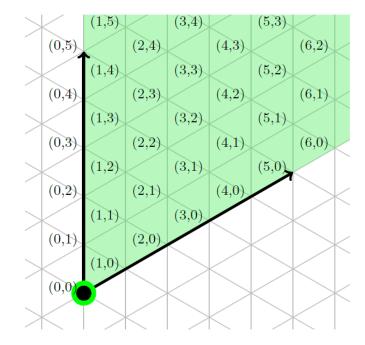


Algorithm Description

[Refer to SOPS Simulator for live demo.]

### **Correctness Results**

**Definition:** For any wedge *W*, the coordinate system  $C : \{ (x,y) : x,y \in \mathbb{N} \} \rightarrow W$  is defined as in the figure below. We define a relation  $\leq$  on the locations  $(x,y), (x',y') \in C$  as follows:



 $(x,y) \leq (x',y') \iff x \leq x' \land y \leq y'.$ 

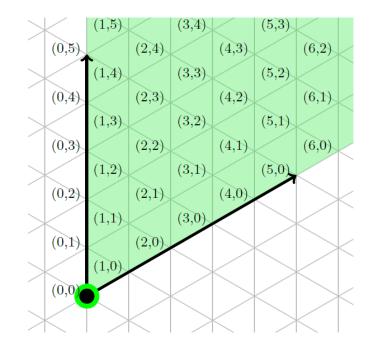
#### **Compression in Self-Organizing Particle Systems**

### Correctness Results

**Lemma:** If a location (x,y) in a wedge W is docking, then every location (x',y') such that (x',y') < (x,y) is occupied by a finished particle.

**Theorem:** A particle system entirely composed of finished particles contains no holes.

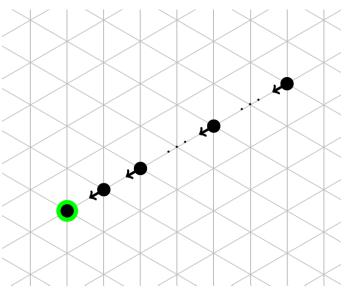
*Proof sketch.* If a hole did exist, then there exists a location (x,y) in the hole such that (x+1,y) is occupied by a finished particle, contradicting Lemma 2. Therefore, the hole could not have existed in the first place.

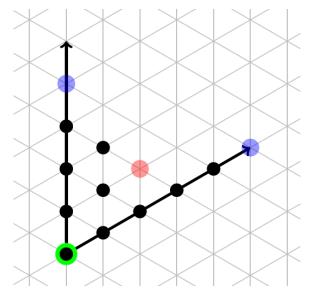


### Convergence Results

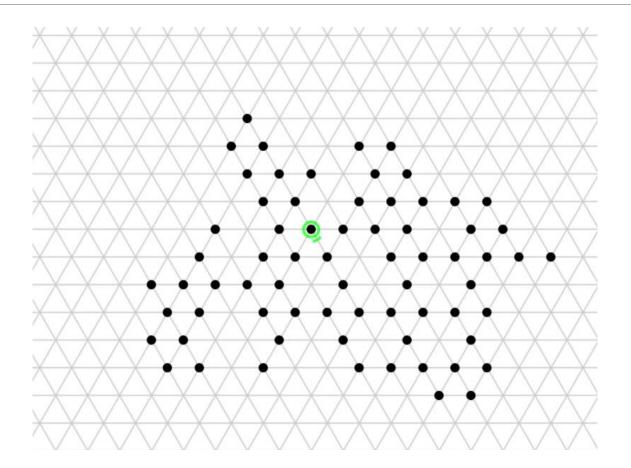
**Lemma:** Every particle in a spanning tree of size k will become either finished or walking after O(k) rounds.

**Theorem:** Hole Elimination terminates in the worst case of  $\Theta(n)$  rounds, where n is the number of particles in the system.





### **Competitive Analysis**

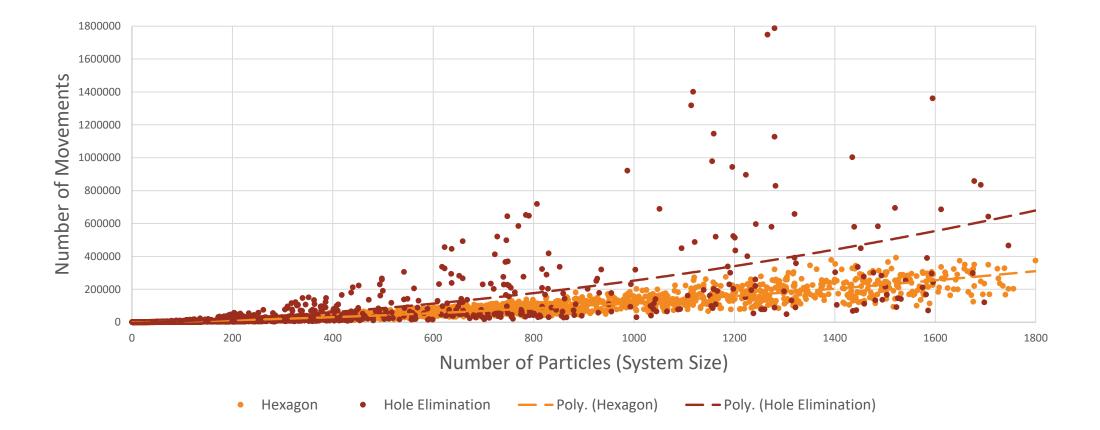


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Local Compression Hole Elimination

## Competitive Analysis (cont.)



**Compression in Self-Organizing Particle Systems** 

### Goal

**Definition:** Given an  $\alpha > 1$ , a connected configuration  $\sigma$  on n particles is said to be  $\alpha$ -compressed if  $p(\sigma) \le \alpha \bullet p_{min}(n)$ .

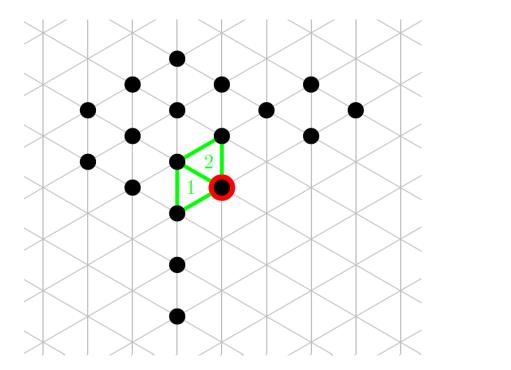
**Definition:** Given an  $0 < \alpha < 1$ , a connected configuration  $\sigma$  on n particles is said to be  $\alpha$ -expanded if  $p(\sigma) \ge \alpha \bullet p_{max}(n)$ .

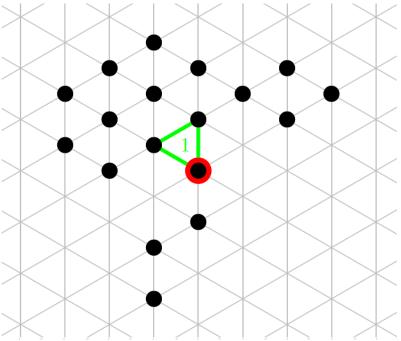
**Lemma:** For a connected configuration  $\sigma$  on n particles which contains no holes, the number of triangles  $t(\sigma) = 2n - p(\sigma) - 2$ .

**Corollary:**  $t(\sigma)$  is maximized when  $p(\sigma) = p_{min}(n)$ .

# Markov Chain M

Input is a starting configuration  $\sigma_0$  which is connected and contains no holes, and a bias parameter  $\lambda > 1$ . Choices are made with probability  $\lambda^{t'-t}$ .





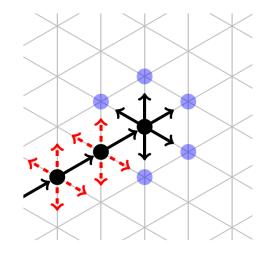
# Results

**Theorem:** Markov chain *M* is *ergodic*, meaning it is *irreducible*—that is, for any configurations x,y there exists a t such that  $P^t(x,y) > 0$ —and *aperiodic*, that is, for any configurations x,y the g.c.d. { t :  $P^t(x,y) > 0$  } = 1.

**Theorem:** The stationary distribution  $\pi$  of M is given by

$$\pi(\sigma) = \frac{\lambda^{t(\sigma)}}{Z} = \frac{\lambda^{-p(\sigma)}}{Z'}, \text{ where } Z = \sum_{\sigma} \lambda^{t(\sigma)} \text{ and } Z' = \sum_{\sigma} \lambda^{-p(\sigma)}$$

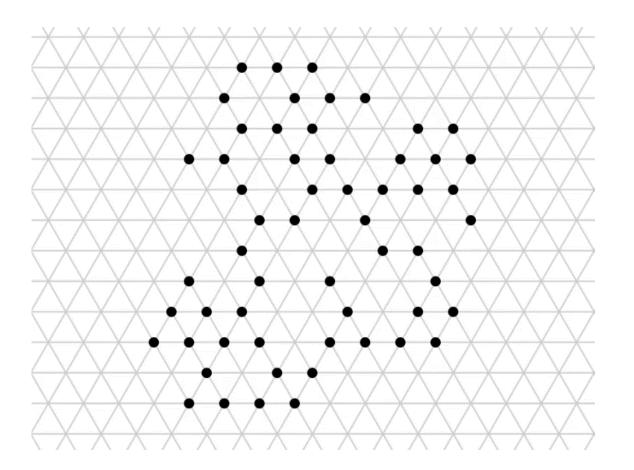
**Lemma:** The number of connected configurations with no holes and perimeter k is at most 5<sup>k</sup>.



**Theorem:** For any  $\alpha > 1$ , there exists a  $\lambda^* = 5^{a/(a-1)} > 5$ ,  $n^* \ge 0$ , and  $\gamma < 1$  such that for all  $\lambda > \lambda^*$  and  $n > n^*$ , the probability that a random sample  $\sigma$  drawn according to the stationary distribution  $\pi$  of M is not  $\alpha$ -compressed is exponentially small:

$$\mathbb{P}(p(\sigma) \ge \alpha \bullet p_{\min}(n)) < \gamma^{\sqrt{n}}.$$

### Obtaining a Seed



# Future Work

For the Markov chain algorithm for compression:

- Further improve the bounds for  $\lambda$  in search of a critical value  $\lambda_c$ .
- Proofs of time complexity using distributed computing techniques.

For the problem of compression in general:

• Generalize to higher dimensions (3D is practical)

### References

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- 3. Sarah Cannon, Joshua J. Daymude, Dana Randall, and Andrea W. Richa. A markov chain algorithm for compression in self-organizing particle systems. CoRR, abs/1603.07991, 2016.
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# Thank you!

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